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TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	Application Number	09/904,156	
	Filing Date	July 31, 2001	
	First Named Inventor	Dany Berube	
	Group Art Unit Number	3739	
	Examiner Name	Michael F. Peffley	
Total Number of Pages in This Submission	*	Attorney Docket Number	23488-09155

ENCLOSURES (check all that apply)	
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REMARKS: * No. of pages in this Submission does not include pages of cited references or transmittal sheet

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Signature:		
Attorney/Reg. No.:	Albert C. Smith/Reg. No. 20,355	Dated: 12/16/04

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Dany Berube
APPLICATION NO.: 09/904,156
FILING DATE: July 31, 2001
TITLE: Monopole Tip for Ablation Catheter and Methods for Using Same
EXAMINER: Michael F. Peffley
GROUP ART UNIT: 3739
ATTY. DKT. NO.: 23488-09155



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INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☒ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing

date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated

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under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☒ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
DANY BERUBE

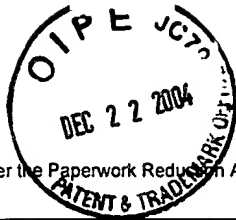
Dated: _____

12/16/04

By: _____

Albert C. Smith

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	09/904,156
Filing Date	July 31, 2001
First Named Inventor	Dany Berube
Art Unit	3739
Examiner Name	Michael F. Peffley
Attorney Docket Number	23488-09155

Sheet 1 of 8

U.S. PATENT DOCUMENTS

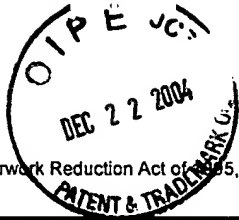
Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 2002/0017306 A1	02-14-2002	Cox et al.
		US 2002/0042610 A1	04-11-2002	Sliwa, Jr., et al.
		US 2002/0042611 A1	04-11-2002	Sliwa et al.
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		US 4,573,473	03-04-1986	Hess
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		US 4,699,147	10-13-1987	Chilson et al.
		US 4,700,716	10-20-1987	Kasevich et al.

Examiner
SignatureDate
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.



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PTO/SB/08A (10-01)
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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application No.	09/904,156
				Filing Date	July 31, 2001
				First Named Inventor	Dany Berube
				Art Unit	3739
				Examiner Name	Michael F. Peffley
Sheet	2	of	8	Attorney Docket Number	23488-09155

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 4,832,048	05-23-1989	Cohen
		US 4,920,978	05-01-1990	Colvin
		US 4,924,864	05-15-1990	Danzig
		US 4,932,420	06-12-1990	Goldstein
		US 4,938,217	07-03-1990	Lele
		US 4,945,912	08-07-1990	Langberg
		US 4,960,134	10-02-1990	Webster, Jr.
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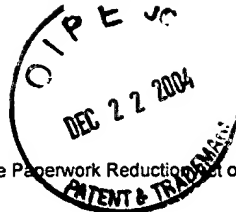
Examiner Signature		Date Considered	
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23488/09155/DOCS/1490086.1



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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	09/904,156
Filing Date	July 31, 2001
First Named Inventor	Dany Berube
Art Unit	3739
Examiner Name	Michael F. Peffley
Attorney Docket Number	23488-09155

Sheet 3 of 8

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 5,327,889	07-12-1994	Imran
		US 5,341,807	08-30-1994	Nardella
		US 5,348,554	09-20-1994	Imran et al.
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		US 5,437,665	08-01-1995	Munro
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**INFORMATION DISCLOSURE
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Application No.	09/904,156
Filing Date	July 31, 2001
First Named Inventor	Dany Berube
Art Unit	3739
Examiner Name	Michael F. Peffley
Attorney Docket Number	23488-09155

Sheet 4 of 8

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 5,599,346 A	02-04-1997	Baker James et al.
		US 5,606,974	03-04-1997	Castellano et al.
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		US 5,842,037	11-24-1998	Haartsen
		US 5,843,075	12-01-1998	Taylor

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Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Sheet 5 of 8

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 5,846,238	12-08-1998	Jackson et al.
		US 5,852,860	12-29-1998	Lorraine et al.
		US 5,853,368	12-29-1998	Solomon et al.
		US 5,860,920	01-19-1999	McGee et al.
		US 5,868,737	02-09-1999	Taylor et al.
		US 5,873,828	02-23-1999	Fujio et al.
		US 5,873,896	02-23-1999	Ideker
		US 5,882,302	03-16-1999	Driscoll, Jr. et al.
		US 5,885,278	03-23-1999	Fleischman
		US 5,895,355	04-20-1999	Schaer
		US 5,897,553	04-27-1999	Mulier et al.
		US 5,897,554	04-27-1999	Chia et al.
		US 5,899,899	05-04-1999	Arless et al.
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		US 6,024,740	02-15-2000	Lesh et al.
		US 6,030,382	02-29-2000	Fleischman et al.
		US 6,059,778	05-09-2000	Sherman

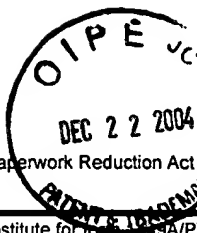
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PTO/SB/08A (10-01)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application No.	09/904,156
Filing Date	July 31, 2001
First Named Inventor	Dany Berube
Art Unit	3739
Examiner Name	Michael F. Peffley
Attorney Docket Number	23488-09155

Sheet 6 of 8

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Examiner
Signature

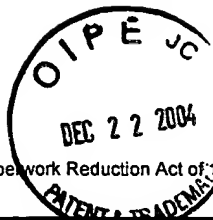
Date
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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ⁶

Examiner Signature		Date Considered	
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